



OAKFIELD
WEALTH MANAGEMENT
Time. Wisdom. Wealth.

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Dear _____

The purpose of this letter is to introduce myself to you and to explain my role as a Financial Planner in terms of the Financial Advisory and Intermediary Services (FAIS) Act 37 of 2002.

My name is **Gerald Thompson** and my practice is called **OAKFIELD WEALTH MANAGEMENT PTY LTD**. My Financial Services Provider License number is 50597.

I have been in the insurance industry for 20 years, 18 of which as a personal financial planner. I have a B Comm degree from the University of Stellenbosch and I am qualified as a **Certified Financial Planner®**.

OAKFIELD WEALTH MANAGEMENT PTY LTD' offices are situated at Unit 16, Anaesticum House, Wellington Park, Wellington Street, Durbanville, 7550. Our postal address is P.O. Box 4264, Tyger Valley, 7536.

My e-mail address is as follows: gerald@oakfieldwealth.co.za Telephonic enquiries can be made to me or my assistant Sharne Solomon on 021 975 7174. Please note that under no circumstances, as provided for by the FAIS Act, is my assistant entitled to render financial advice of any kind.

As an authorized Financial Services Provider I am licensed by the Financial Services Board in terms of the FAIS Act to render advice and intermediary services in respect of the following financial products:

Long-Term Insurance: Category A	Long-Term Insurance: Category B1	Pension Funds Benefits (excl retail)
Short-Term Insurance: Personal lines	Long-Term Insurance: Category B2	Participatory interests in Collective Investment Schemes
Long-Term Insurance: Category C	Retail Pension Benefits	Health Services Benefits

As your assurance advisor all below-mentioned companies may in certain circumstances be answerable to you for the quality and suitability of the advice offered. If you do have a complaint or a compliance related query, please do not hesitate to contact me personally.

Should this complaint or query not be resolved to your satisfaction you may forward such complaint to the Office of the Ombud for Financial Service Providers, Ms. Noluntu Bam (+27 12 470 9080; www.faisombud.co.za). My compliance officer is Masthead (+27 21 555 4121).

In terms of the FAIS Act I am mandated and entitled to render financial advice and intermediary services to you in terms of the contracts I have entered into with the following companies:

Allan Gray	Glacier	Coronation	Sygnia
Momentum	Sanlam	Brightrock	Discovery Life
FMI	Liberty Life	Stratum Benefits	Nedgroup
Old Mutual	PPS		

I hold more than 10% of Shares in the abovementioned companies: **NO**

I have personal indemnity insurance: **YES**

I have received more than 30% of my commission from one of the companies mentioned above: **YES**

Other matters of importance are that you should not sign any blank or partially completed application form, complete all forms in ink, keep all documents handed to you, the Financial Intelligence Centre Act obliges me to report any suspicious and unusual transactions that may facilitate money laundering and finally, that you are absolutely sure that the product or transaction meets your needs, and you feel you have all the information you need before making a decision.

I the undersigned hereby acknowledge receipt of the "Disclosure to client" letter and the "Statutory Notice.

Client's signature



Client's full name

Gerald Thompson CFP®
Director
Oakfield Wealth Management

Date